

NZC – CODE OF CONDUCT

2022-23

1. Introduction

This NZC Code of Conduct shall apply to all competitions subject to NZC Playing Conditions.

2. Commissioners and Match Referees

- 2.1 Each Major Association must nominate and have approved by the Board of NZC ('the Board') sufficient Association Commissioner(s) to hear and decide on any reports of alleged breaches of clauses 3.1, 3.2 and 3.3 below (respectively Rule 3.1, Rule 3.2 and Rule 3.3).
- 2.2 The Board will appoint Match Referees and a minimum of four First Class Commissioners to hear and decide on any reports on alleged breaches: (i) in men's domestic competitions (Plunket Shield, Ford Trophy and Super Smash) and (ii) by professional players in women's domestic competitions (Super Smash and Hallyburton Johnstone Shield).
- 2.3 The Board will appoint a National Commissioner(s) to hear and decide on any appeals from any decision of a First-Class Commissioner or Association Commissioner.
- 2.4 If the position of National Commissioner becomes vacant or the National Commissioner is unavailable at any time then a person nominated by the Chairperson of the NZC Board shall take the place of the National Commissioner for the specific time or purpose fixed by the Chairperson.
- 2.5 The National Commissioner and First Class Commissioners shall have a discretionary power to extend all time limits under this Code, whether or not the time limit has passed.
- 2.6 NZC shall be responsible for maintaining a register of all reports, appeals and decisions taken, and circulating these decisions to all Match Referees and Commissioners from time to time.
- 2.7 If the National Commissioner, one of the First Class or Association Commissioners, or a Match Referee cannot be contacted within any of the time limits herein stated, then contact may be made with the Chief Executive of NZC, his/her nominee or the NZC Board Chairperson.

3. Rules of the Code

3.1 Rule 3.1:

Players and team officials must not indulge in unacceptable behavior on any part of a cricket ground including the field of play. Appendix A sets out a non-exhaustive list of examples of unacceptable behaviour, and how they should be addressed.

Note: For the purposes of this Code the term “team official(s)” shall include without limitation any team manager or team coach appointed by an Association to manage and/or coach a team or any other person holding any official position within cricket.

3.2 Rule 3.2:

Players must not infringe the rules relating to advertising on cricket clothing and equipment as set out in First Class Playing Condition 44.

3.3 Rule 3.3:

Players and team officials must not make any public announcement or media comment or engage in any act, omission or conduct prejudicial to the interests of cricket or which may bring the game of cricket or any cricketer or group of cricketers into disrepute.

4. Eligibility to lodge a report

4.1 An alleged breach of Rules 3.1, 3.2 or 3.3 may be reported by:

- (a) either, all or both Umpires, including 3rd or 4th Umpires; or
- (b) the Chief Executive of NZC (the “Chief Executive NZC”); or
- (c) a NZC Board member; or
- (d) the Chairperson of any Major or District Association (or his/her nominee); or
- (e) the appointed match manager; or
- (f) any team official; or
- (g) where it is a Level 2, Level 3 Offence or Level 4 Offence that is alleged to have been committed during a match, the Match Referee who was appointed to officiate in that Match. For the avoidance of doubt, the Match Referee is not entitled to report any alleged Level 1 Offence, unless they disqualify themselves from adjudicating and conducting the hearing, and at the same time, refer the matter to a Commissioner in terms of clause 5.7 below.

4.2 No alleged breach of Rules 3.1 or 3.2 shall be reported by any of the persons referred to in 4.1(b), (c), (d), (e), (f) or (g) above unless they have first consulted with the Umpire or Umpires in respect of any on-field conduct or behavior that is alleged to have breached this Code. For the avoidance of doubt, notwithstanding the requirement to

consult with the Umpire or Umpires before making a report, the decision as to whether a report shall be made shall remain that of the person named in Clause 4.1.

- 4.3 All reports of an alleged breach or breaches of the Code must be in writing in the form attached at Appendix B or substantially in compliance with such form stating the level of offending alleged.

5. Reports and Procedure

General

- 5.1 Alleged infringements of this Code of Conduct shall be dealt with in accordance with the following procedures:

Men - Plunket Shield, Ford Trophy and Super Smash:

Breach of Rule 3.1 (Level 1 Offence): Reports lodged and matters heard by the Match Referee officiating at the relevant match as set out in clauses 5.2 - 5.7;

Breaches of Rules 3.1 (Level 2, 3 or 4 Offence), 3.2 or 3.3: Reports lodged and matters heard by First Class Commissioners as set out in clauses 5.8 - 5.16;

Women – Super Smash:

Breach of Rule 3.1 (Level 1 Offence): Reports lodged and matters heard by the Match Referee officiating at the relevant match as set out in clauses 5.2 - 5.7;

Breaches of Rules 3.1 (Level 2, 3 or 4 Offence), 3.2 or 3.3: Reports lodged and matters heard by First Class Commissioners as set out in clauses 5.8 - 5.16

All other Competitions subject to NZC Playing Conditions:

Breach of Rules 3.1, 3.2 or 3.3: Reports lodged and matters heard by Association Commissioners as set out in clauses 5.17 - 5.24;

Notwithstanding the above, if a player on report is:

- (a) a men's international professional contracted to play in New Zealand or a New Zealand Cricket or Major Association professional player and such report relates to a match below a Plunket Shield, Ford Trophy and Super Smash (e.g. a Provincial A, Hawke Cup); or
- (b) a women's international professional contracted to play in New Zealand and such report relates to any match in New Zealand subject to this Code,

then any hearing shall be conducted by one of the First Class Commissioners, irrespective of the cricket competition from which the report emanated. This is to ensure that a consistent approach can be applied to the professional player.

Plunket Shield, Ford Trophy and Super Smash – Rule 3.1 (Level 1 Offences)

- 5.2 Where any person entitled to do so wishes to report an alleged breach of Rule 3.1 (Level 1), they shall complete a written report in the form attached at Appendix B

("Code of Conduct Report") and provide this to the Match Referee officiating at the relevant match within twenty four hours of the close of the day's play.

- 5.3 The Code of Conduct Report shall describe the alleged conduct in terms of the Offences set out in Appendix A where applicable. Such description shall not bind the Match Referee adjudicating the matter, who shall have the discretion to amend the description of the conduct (to accord with the facts) and the Level of the conduct. NOTE: this means that the Match Referee can both change an offence from a Level 1 to a Level 2 offence (or higher - in which case the matter shall proceed to a hearing in accordance with clauses 5.11 - 5.16) and can also assess the conduct in terms of a different offence within Level 1 (as these are set out in Appendix A).
- 5.4 The Match Referee shall consider the Code of Conduct Report and produce a Notice of Charge Form attached at Appendix C. The Match Referee shall then provide a copy of both documents to:
- (a) the person reported;
 - (b) the captain and team manager of the person reported (save where the report is against the captain then the vice-captain and/or team manager);
 - (c) the NZC General Manager of Cricket Operations (or their nominee);
 - (d) the Chief Executive, Executive Director or Secretary of the relevant Major or District Association (or his/her nominee);

The Match Referee shall also provide a copy of the Notice of Charge Form to the initiator of the Code of Conduct Report.

- 5.5 The Notice of Charge shall specify that the person reported shall have the following three options, to be relayed to the Match Referee in accordance with the timelines set out in the Notice of Charge:
- (a) The person reported may admit the offence charged and agree to the proposed sanction specified in the Notice of Charge (which sanction shall be strictly at the Match Referee's discretion, but at all times within the appropriate range for a Level 1 offence). In such circumstances, a hearing before a First Class Commissioner shall not be required and no further action shall be taken beyond the sanction proposed; or
 - (b) The person reported may admit the offence charged but dispute the proposed sanction specified in the Notice of Charge, in which case the matter shall proceed to a hearing in accordance with clauses 5.11 - 5.16; or
 - (c) The person reported may deny the offence charged, in which case the matter shall proceed to a hearing in accordance with clauses 5.11 - 5.16.

NOTE: For the avoidance of doubt, the Match Referee determines the proposed sanction specified in the Notice of Charge provided to the person reported without having assessed the guilt or innocence of the person reported.

- 5.6 The Match Referee must communicate the response of the person reported to the Notice of Charge in a timely manner and notify the same individuals as set out in Clause 5.4.

No-one shall be entitled to make any comment in relation to any such commission and sanction unless and until the individuals set out in Clause 5.4 have been notified.

- 5.7 For the avoidance of doubt, where a Level 1 Offence is alleged to have been committed and:
- (a) that person has two or more code of conduct breaches within the previous three seasons; and/or
 - (b) that person has one or more code of conduct breaches within the current season, and/or
 - (c) for whatever reason the Match Referee, at their absolute discretion, does not wish to hear the matter or considers that the conduct involved should be charged as a Level 2 Offence;

the matter shall proceed to a hearing in accordance with clause clauses 5.11 - 5.16

Plunket Shield, Ford Trophy and Super Smash – Rule 3.1 (Level 2, 3 and 4), 3.2 or 3.3 Offences.

- 5.8 Where any person entitled to do so wishes to report an alleged breach of Rules 3.1 (Levels 2, 3 and 4), 3.2 or 3.3, they shall complete a written report in the form attached at Appendix B (Code of Conduct Report) and provide this to the Match Referee officiating at the relevant match within twenty four hours of the close of the day's play (in the event of a breach of Rules 3.1 (Levels 2, 3 or 4), or 3.2) or before the end of three working days following the day on which the alleged breach occurred (in the event of a breach of Rule 3.3).
- 5.9 The Code of Conduct Report shall describe the alleged conduct in terms of Appendix A where applicable. Such description shall not bind the First Class Commissioner or National Commissioner adjudicating the matter who shall have the discretion to amend the description of the conduct to accord with the facts and amend the Level of the conduct. NOTE: this means that the First Class Commissioner or National Commissioner can both change an offence to a higher or lower Level and can also assess the conduct in terms of a different offence within a particular Level (as the offences are set out in Appendix A).
- 5.10 The Match Referee shall immediately provide a copy of the Code of Conduct Report to:
- (a) the person reported;
 - (b) the captain and team manager of the person reported (save where the report is against the captain then the vice-captain and/or team manager);
 - (c) the NZC General Manager of Cricket Operations (or their nominee);

- (d) the Chief Executive, Executive Director or Secretary of the relevant Major or District Association (or their nominee);

NZC's General Manager of Cricket Operations (or their nominee) will at the earliest opportunity forward the Code of Conduct Report to a First Class Commissioner for determination.

- 5.11 Upon receipt of the report, the First Class Commissioner will arrange a hearing as soon as possible. Unless excused by the First Class Commissioner for any reason, the hearing shall be attended by:
 - (a) the person reported; and
 - (b) the Captain and/or team manager (save what where the report is against the Captain then the vice-Captain or team manager will attend) and;
 - (c) the initiator of the report
- 5.12 The First Class Commissioner may conduct the hearing using technology, including by video or audio conferencing. This process will be managed by NZC and information provided separately.
- 5.13 The First Class Commissioner may require any person subject to the Code to attend the hearing where in the opinion of the First Class Commissioner that person might assist in any way.
- 5.14 Where any person required to attend a hearing pursuant to clause 5.11 or 5.13 (other than the person reported) fails to attend without reasonable justification, this may be treated as a breach of rule 3.3 and any person entitled to do so may make a report as set out above.
- 5.15 The First Class Commissioner will hear and decide on the report in a manner which accords with the principles of natural justice and which best ensures the fair and prompt determination of the alleged breach of the Code.
- 5.16 The First Class Commissioner must communicate the decision orally within 48 hours of the hearing and must deliver a written decision with full reasons for the decision within 72 hours of the decision being orally communicated. The First Class Commissioner will ensure that the written decision will be forwarded in the first instance to:
 - (a) the person reported;
 - (b) the initiator of the report;
 - (c) the Chief Executive, Executive Director or Secretary of the Major or District Association or their nominee;
 - (d) and NZC.

No one shall be entitled to make any comment in relation to any such decision unless and until the person reported has been advised of the First Class Commissioner's decision.

All other Competitions subject to NZC Playing Conditions:

- 5.17 Where any person entitled to do so wishes to report an alleged breach of Rules 3.1, 3.2 or 3.3, they shall complete a written report in the form attached at Appendix B (Code of Conduct Report) and provide this to the match or tournament manager officiating at the relevant match (as applicable). The Code of Conduct Report must be provided within twenty four hours of the close of the day's play (in the event of a breach of Rules 3.1 or 3.2 or before the end of three working days following the day on which the alleged breach occurred (in the event of a breach of Rule 3.3).
- 5.18 The Code of Conduct Report shall describe the alleged conduct in terms of Appendix A where applicable. Such description shall not bind the Association Commissioner adjudicating the matter who shall have the discretion to amend the description of the conduct to accord with the facts and amend the Level of the conduct. NOTE: this means that the Association Commissioner can both change an offence to a higher or lower Level and can also assess the conduct in terms of a different offence within a particular Level (as the offences are set out in Appendix A).
- 5.19 The match or tournament manager shall immediately provide a copy of the Code of Conduct Report to:
- (a) the person reported;
 - (b) the captain and team manager of the person reported (save where the report is against the captain then the vice-captain and/or team manager);
 - (c) the NZC General Manager of Cricket Operations (or their nominee);
 - (d) the Chief Executive, Executive Director or Secretary of the relevant Major or District Association (or their nominee).

The match or tournament manager will at the earliest opportunity forward the Code of Conduct Report to an Association Commissioner for determination.

- 5.20 Upon receipt of the report, the Association Commissioner must ensure that the person reported is not a person identified in clause 5.1(a) or (b). In the event that they are not, the Association Commissioner will arrange a hearing as soon as possible. Unless excused by the Association Commissioner for any reason, the hearing shall be attended by:
- (a) the person reported; and
 - (b) the Captain and/or team manager (save what where the report is against the Captain then the vice-Captain or team manager will attend) and;
 - (c) the initiator of the report.

- 5.21 The Association Commissioner may conduct the hearing using technology including by video or audio conferencing. This process will be managed by the relevant Major Association and information provided separately.
- 5.22 The Association Commissioner may require any person subject to the Code to attend the hearing where in the opinion of the Association Commissioner that person might assist in any way.
- 5.23 Where any person required to attend a hearing pursuant to clause 5.20 - 5.22 (other than the person reported) fails to attend without reasonable justification, this may be treated as a breach of Rule 3.3 and any person entitled to do so may make a report as set out above.
- 5.24 The Association Commissioner will hear and decide on the report in a manner which accords with the principles of natural justice and which best ensures the fair and prompt determination of the alleged breach of the Code.
- 5.25 The Association Commissioner must communicate the decision orally within 48 hours of the hearing and must deliver a written decision with full reasons for the decision within 72 hours of the decision being orally communicated. The Association Commissioner will ensure that the written decision will be forwarded in the first instance to:
- (a) the person reported;
 - (b) the initiator of the report;
 - (c) the Chief Executive, Executive Director or Secretary of the Major or District Association or their nominee; and
 - (d) NZC.

No one shall be entitled to make any comment in relation to any such decision unless and until the person reported has been advised of the Association Commissioner's decision.

6. Appeals – Procedure

- 6.1 Where the person reported or NZC wishes to appeal against any decision of a First Class or Association Commissioner in relation to any alleged breach of the Rules, an application for leave to appeal must be lodged in writing with the Chief Executive NZC within 72 hours of the written decision being provided to the player or team official concerned. The application for leave shall record in full the basis of the application.
- 6.2 The Chief Executive NZC will immediately, on receipt of the application for leave, advise the initiator of the report, the First Class or Association Commissioner, the National Commissioner, and in the case of an application for leave to appeal by NZC, the person reported.
- 6.3 The National Commissioner will determine the application for leave in his sole discretion and shall give a written decision as to the application for leave which will be advised to those persons with an interest as set out in clause 6.2. The National

Commissioner may, if the National Commissioner decides to do so, hear the application for leave at the same time as the substantive appeal.

- 6.4 In the event that leave to appeal is granted (or if the National Commissioner decides to hear the application for leave at the same time as the substantive appeal) the National Commissioner will hear and decide on the appeal in a manner which accords with the principles of natural justice and which best ensures the fair and prompt determination of the appeal. In determining any appeal, the National Commissioner may in his sole discretion set aside, reduce, vary or increase any penalty.
- 6.5 Any decision of the National Commissioner as to the appeal itself shall be communicated to all those persons with an interest as set out in clause 6.2. No one shall be entitled to make any comment in relation to any such decision unless and until the person reported has been advised of the Commissioner's decision.
- 6.6 There shall be no right of appeal from a decision of the National Commissioner either in respect of an application for leave to appeal, or in respect of an appeal which proceeds to hearing.
- 6.7 For the avoidance of doubt, decisions made by a Match Referee pursuant to clause 5.5(a) shall be non-appealable and shall remain the full and final decision in relation to the matter.

7. Penalties

- 7.1 Where a person reported has been found in breach of the Code a penalty or any combination of penalties may be imposed pursuant to this Rule.
- 7.2 The penalties that may be imposed are:
 - (a) written censure;
 - (b) a financial penalty which may consist of a match fee or any part of such, or a fine, to a maximum of \$5000.00.
 - (c) (in the case of a Player) suspension from playing in fixtures under the control of the Board or any affiliated association or body or any other match in which NZC is involved including matches under the auspices of ICC.
 - (d) (in the case of a Team Official) suspension from any involvement with any team playing in fixtures under the control of the Board or any affiliated Association or body, or any other match in which NZC is involved including matches under the auspices of ICC.

7.3 Levels

Appendix A to this Code classifies breaches under Rule 3.1 at ascending Levels 1, 2, 3 and 4. The levels are to guide those making Reports, the disciplinary process, and to assist the person reported to understand how the Code applies.

7.4 Match fees and financial penalties

The professional game has developed sanctions based on match fees and the NZC Code reflects that but the Code must respond to the fact it applies to contracted players, uncontracted players who receive a match fee and those who receive no payment at all.

A suspension may be considered more appropriate when a player is not contracted.

A financial penalty should not be fixed only by a percentage of a match fee as this would lead to inconsistency given the match fees payable for different levels of cricket (four-day games, one day games and Twenty20 games).

The player's means may be relevant to mitigation of penalty but not to the point financial penalties become subjective. A consistent imposition of financial penalty is intended.

The financial penalty may extend beyond a match fee, for example where the match fee amount is not a sufficient penalty.

7.5 Indicative penalties

1. Level 1 - the indicative entry level is a written censure and/or the imposition of either a fine or forfeiture of a match fee or part of such. Suspension for a number of matches may be considered appropriate for an uncontracted player. The entry point for Level 1 breaches where a financial penalty is considered appropriate is \$200.00 + GST.
2. Level 2 - the indicative entry level is a fine or forfeiture of a match fee or part of such, and/or suspension for a number of matches. The entry point for Level 2 offences is \$500.00+ GST where a monetary penalty is considered appropriate.
3. Level 3 - the indicative entry level is suspension for a number of matches.
4. Level 4 – the indicative entry level is lifetime suspension.

7.6 Mitigating and aggravating circumstances

Mitigating and aggravating circumstances will be brought to account in determining the Level, and the penalty.

Mitigating circumstances include without limitation, contrition, apology, good record, and the circumstances in which a breach occurred. Aggravating circumstances include without limitation, the fact that a player is a repeat offender or has ignored an umpire's warning.

Refusal to acknowledge a breach is not an aggravating circumstance, but results in the absence of a mitigating factor.

Where a player commits three or more breaches within three seasons, a suspension rather than a monetary penalty for the third breach should be considered.

7.7 Suspension

Except where indicated above, suspension for a number of matches is not the primary penalty.

Suspension is intended to have increasing effect through Levels 2, 3 and 4 breaches.

Suspension should take into account the player's schedule. Commissioners will determine the weight to be given to that playing schedule. While suspensions will usually apply to matches of any duration, a player who has three one-day fixtures in their playing schedule must be set against a player who has forthcoming three or four day fixtures.

A suspension will bring to account match fees that will be lost.

7.8 Discretion

The Commissioners and Match Referees retain an overall discretion and will endeavour to achieve consistency.

7.9 Payment of Fines

Any fine must be paid within 7 days and any failure to meet this requirement will render the player ineligible and the Team Official ineligible in that capacity for selection, or involvement in any fixture under the control of the Board or any of its affiliated Association or bodies or any other match in which NZC is involved, including matches under the auspices of ICC. Payment will be made to the CPA Cricketers Hardship Trust.

7.10 Player Agreement

For the avoidance of doubt, any penalties imposed by the Match Referee or the Commissioners may be restricted by the terms of any relevant Player Agreement.

7.11 **Stay of penalty**

Lodging an appeal to the National Commissioner shall not stay the penalty imposed and/or obligations there under, but the National Commissioner may grant a stay in the exercise of discretion on an application made for that purpose.

8. Media

Only the NZC Chief Executive or the Chairman of the Board NZC (or their nominees) are authorised by NZC to discuss with the media a report, a decision, or an application for leave to appeal, or an appeal and any information regarding that process and the decisions made. In the absence of special reasons, the outcomes of the disciplinary process shall be made public and the offence and penalties applied will be published on NZC's website.

APPENDIX A

NZC's Code of Conduct - Appendix 1	
	The following is a non-exhaustive list of examples of unacceptable behaviour under Rule 3.1 of the Code, and how they should be addressed.
	The conduct described in Levels 1-4, if committed by a player or team official shall amount to an offence by such player or team official under the Code of Conduct.
	Comment: Notes have been provided as guidance as to the nature of conduct that might fall within a particular Level and are not a limiting list of conduct prohibited by such Level.
ARTICLE	
1.1	Breach of NZC's rules relating to advertising on cricket clothing and equipment.
Note	<i>In all cases, the Umpire shall first require the offending person to remove or cover up the prohibited logo(s) and if this warning is ignored during that match, such conduct will constitute a breach of this provision.</i>
Level 1	✓
Level 2	Not applicable
Level 3	Not applicable
Level 4	Not applicable
1.2	Abuse of cricket equipment or clothing, ground equipment or fixtures and fittings during a match.
Note	<i>This include any action(s) which fall outside the usual conduct of the same, such as hitting or kicking the wickets and any action(s) which intentionally or negligently result in damage to the advertising boards, boundary fences, dressing room doors, mirrors, windows and other fixtures and fittings.</i>
Level 1	✓
Level 2	Not applicable
Level 3	Not applicable
Level 4	Not applicable
1.3	Showing dissent at an Umpire's decision during a match. This includes:
	1.3.1 obvious disappointment with, or challenge to, an Umpire's decision;
	1.3.2 an obvious delay in play, or leaving the wicket;
	1.3.3 shaking the head to indicate challenge to the decision;
	1.3.4 pointing or looking at the inside edge when given out lbw;
	1.3.5 pointing to the pad or rubbing the shoulder or giving any other indication the decision is challenged when caught behind;
	1.3.6 snatching the cap from the Umpire whether in petulance or as a challenge to the Umpire's decision or conduct;
	1.3.7 requesting a TV Umpire referral (other than in the context of a legitimate request for a referral as may be permitted in such a match); and
	1.3.8 entering into any debate with the Umpire about his decision.
Note	<i>It is no defence to any charge brought under this provision to submit that the Umpire's decision was wrong.</i> <i>Dissent, including the examples given in paragraph 1.3 of Level 1 will be classified as 'serious' when the conduct contains an element of anger, abuse or challenge which is directed at the Umpire or the Umpire's decision or where there is excessive delay in resuming play or leaving the wicket showing such dissent or where there is persistent re-reference to the incident over time. It shall not be a defence to any charge brought under this Rule to submit that the Umpire's decision was wrong.</i>
Level 1	✓
Level 2	✓ Applies in the case of 'serious' dissent
Level 3	Not applicable
Level 4	Not applicable
1.4	Using language or a gesture that is obscene, offensive or insulting during a match. This includes:
	1.4.1 loud or repetitious swearing; and

	1.4.2 obscene gestures or conduct which is/are not directed at another person, such as swearing at one's own poor play or fortune. This offence is not intended to penalise behaviour which may be regarded as trivial.
Note	<i>There will be verbal exchanges between players in the course of play. Rather than seeking to eliminate these exchanges entirely, Umpires will be required to report such conduct that falls below an acceptable standard so as to become more than minor.</i>
	<i>When assessing the seriousness of the breach, the Umpire shall be required to take into account the context of the particular situation and whether the words or gesture are likely to:</i>
	<i>(a) be regarded by others on the field of play or outside the playing area as obscene: a</i>
	<i>(b) give offence to others in the field of play or outside the playing area or;</i>
	<i>(c) insult another person</i>
	<i>This offence is not intended to cover any use of the language or gestures that are likely to offend another person on the basis of their race, gender, colour, descent, national or ethnic origin. Such conduct is prohibited under NZC's Anti-Discrimination Code and must be dealt with according to the procedures set out therein.</i>
Level 1	✓
Level 2	✓ Applies in the case of 'serious' dissent
Level 3	Not applicable
Level 4	Not applicable
1.5	Excessive appealing during a match
	Note: "excessive" shall include:
	1.5.1 repeated appealing which is not based on a genuine belief a batsman should be given out; or
	1.5.2 celebrating a dismissal before the decision has been given, i.e. it is not intended to prevent loud or enthusiastic appealing;
	1.5.3 it is not intended the Rule should prevent loud or enthusiastic appealing of itself.
Level 1	✓
Level 2	Not applicable
Level 3	Not applicable
Level 4	Not applicable
1.6	Pointing or gesturing towards the pavilion by a bowler or other member of the fielding side upon the dismissal of a batsman.
Level 1	✓
Level 2	Not applicable
Level 3	Not applicable
Level 4	Not applicable
1.7	Public criticism of, or inappropriate comment in relation to an incident occurring in a match, or any player, Team Official, Match Official or team participating in any match, irrespective of when such criticism or inappropriate comment is made.
Note	<i>Without limitation, players and Team Officials will breach this provision if they publicly criticise the Match Officials or denigrate a player or team against which they have played in relation to incidents which occurred in a Match. When assessing the seriousness of the breach, the context within which the comments are made and the gravity of the offending comments must be taken into account.</i>
Level 1	✓
Level 2	✓ Applies in the case of a public or media comment that is 'very' detrimental to the interests of cricket
Level 3	Not applicable
Level 4	Not applicable
1.8	A first failure in a competition, in the same season, to meet the minimum over rate requirements contained in the NZC Playing Conditions for a particular match.
Note	<i>Subjective intent on behalf of the team Captain or player to waste time is not required. It is sufficient to establish that the minimum over rate was not met.</i> <i>Each competition is to be treated separately (multi-day & limited over competitions) – For the avoidance of doubt, a captain or player can only accumulate a subsequent minimum over rate charge, within the same competition that they received their first charge. A subsequent charge, in the same season, but in a different competition, is to be considered their first charge for that competition.</i>

Level 1	✓
Level 2	✓ A second or subsequent failure in one season, in the same competition
Level 3	Not applicable
Level 4	Not applicable
1.9	Where the facts of the alleged incident are not adequately or clearly covered by any of the above offences, conduct that either:
	1.9.1 is contrary to the spirit of the game; or 1.9.2 brings the game into disrepute.
Note	<i>This provision is intended to be a "catch-all" provision to cover all types of conduct of a minor nature that is not covered by the specific offences set out elsewhere in the NZC Code of Conduct.</i>
	<i>By way of example, this provision may (depending upon the seriousness and context of the breach) prohibit the following:</i>
	<i>(a) the use of an illegal bat or illegal wicket-keeping gloves;</i>
	<i>(b) deliberate time wasting;</i>
	<i>(c) cheating during a match which is conduct intended to wrongly influence any element of the game, including deliberate attempts to mislead the Umpire;</i>
	<i>(d) failure to comply with the provisions of New Zealand Cricket First Class Playing Condition 15 (declarations); and</i>
	<i>(e) any conduct which is considered 'unfair play' under law 41 of the Laws of Cricket.</i>
	<i>By way of further example, this provision may also (depending upon the seriousness and context of the breach) prohibit the following:</i>
<i>(a) public acts of misconduct;</i>	
<i>(b) unruly public behaviour; and</i>	
<i>(c) inappropriate comments which are detrimental to the interests of the game.</i>	
Level 1	✓
Level 2	all types of conduct of a 'serious nature' that is not covered by the specific offences set out elsewhere in the NZC Code of Conduct.
Level 3	all types of conduct of a 'serious nature' that is not covered by the specific offences set out elsewhere in the NZC Code of Conduct.
Level 4	all types of conduct of a 'serious nature' that is not covered by the specific offences set out elsewhere in the NZC Code of Conduct.
1.11	Inappropriate physical contact between players in the course of play during a match.
Note	<i>Without limitation, players will breach this regulation if they recklessly or negligently walk or run into or shoulder another player.</i>
Level 1	Not applicable
Level 2	✓
Level 3	Not applicable
Level 4	Not applicable
1.12	Charging or advancing towards, or challenging, the Umpire in an aggressive manner when appealing during a match.
Level 1	Not applicable
Level 2	✓
Level 3	Not applicable
Level 4	Not applicable
1.13	Deliberate obstruction in the field of play.
Level 1	Not applicable
Level 2	✓
Level 3	Not applicable
Level 4	Not applicable
1.14	Throwing a ball (or any other item of cricket equipment such as a water bottle) at or near a player, Team Official, Umpire, Match Referee or any other third person in an inappropriate and/or dangerous manner during a match.
Level 1	Not applicable
Level 2	✓
Level 3	Not applicable
Level 4	Not applicable

1.15	Changing the condition of the ball in breach of Law 41.3 of the Laws of Cricket, as modified by ICC Standard Test Match, ODI and Twenty20 International Match Playing Conditions.
Note	<i>This offence supplements and does not replace ICC Standard Test Match, ODI and Twenty20 International Match Playing Conditions.</i>
	<i>Any action(s) likely to alter the condition of the ball which are not specifically permitted under Law 41.3.2 may be regarded as 'unfair'. The following actions shall not be permitted (this list of actions is not exhaustive but included for illustrative purposes).</i>
	<i>(a) deliberately throwing the ball into the ground for the purpose of roughening it up;</i>
	<i>(b) applying any artificial substance to the ball; and applying any non-artificial substance for any purpose other than to polish the ball;</i>
	<i>(c) lifting or otherwise interfering with any of the seams of the ball;</i>
	<i>(d) scratching the surface of the ball with finger or thumb nails or any implement;</i>
	<i>(e) attempting to alter the shape of the ball.</i>
	<i>The Umpires shall use their judgement to apply the principle that actions taken to maintain or enhance the condition of the ball, provided no artificial substances used, are permitted.</i>
Level 1	Not applicable
Level 2	✓
Level 3	Not applicable
Level 4	Not applicable
1.16	Any attempt to manipulate a match for inappropriate strategic, tactical or other reasons, including, but not limited to, any conduct which is considered 'unfair play' under Law 42 of the Laws of Cricket.
Note	<i>This provision is intended to prevent the manipulation of matches for inappropriate strategic or tactical reasons (see New Zealand Cricket First Class Playing Condition 15). It may apply to the inappropriate manipulation of a net run rate or accumulation of bonus points or otherwise.</i>
	<i>This provision is not intended to cover any corrupt or fraudulent acts (including any use of inside information and/or related betting activity). Such conduct is prohibited under the ICC's Anti-Corruption Code and must be dealt with according to the procedures set out therein.</i>
	<i>The Captain of any team guilty of such conduct shall be held responsible (and subject to sanction) for any offence found to have been committed under this provision.</i>
Level 1	Not applicable
Level 2	✓
Level 3	Not applicable
Level 4	Not applicable
1.17	Intimidation of an Umpire or Match Referee whether by language or conduct (including gestures) during a match.
Note	<i>Includes appealing in an aggressive or threatening manner, which has or may have the effect of influencing the Umpire in his role.</i>
Level 1	Not applicable
Level 2	Not applicable
Level 3	✓
Level 4	Not applicable
1.18	Threat of assault on another player, Team Official, or any other person (including a spectator) during a match.
Level 1	Not applicable
Level 2	Not applicable
Level 3	✓
Level 4	✓
1.19	Threat of assault on an Umpire or Match Referee during a match.
Level 1	Not applicable
Level 2	Not applicable
Level 3	Not applicable
Level 4	✓
1.20	Physical assault of another player, Team Official, Umpire, Match Referee or any other person (including a spectator) during a match.
Level 1	Not applicable
Level 2	Not applicable

Level 3	Not applicable
Level 4	✓
1.21	Any act of violence on the field of play during a match.
Level 1	Not applicable
Level 2	Not applicable
Level 3	Not applicable
Level 4	✓

APPENDIX B - NZC CODE OF CONDUCT REPORT

REP 1

NZC CODE OF CONDUCT REPORT

In accordance with the NZC Code of Conduct I/we make the following report:

Date Report Prepared:		Prepared By:	
Match:		Match Date(s):	
Umpires:			
I/We wish to bring to your attention my/our belief that during the match above, conduct amounting to a breach of the NZC Code of Conduct occurred. Those involved were (Player(s) / Team Official(s)/ Team:			
<i>(Name/ Names)</i>			
I/ We believe that the conduct breached Rule:	3.1	3.2	3.3
Of the Code and as described in Appendix A, Article:			
Level:	1	2	3
The Circumstances were as follows:			
The day's play concluded at:		<i>(Time)</i>	<i>(Date)</i>
If applicable, the appointed Match Referee was notified OR		<i>(Time)</i>	<i>(Date)</i>
The Chief Executive, Director or Secretary of the MA/ DA was notified.		<i>(Time)</i>	<i>(Date)</i>
Name:		Name:	
Signed:		Signed:	
Contact No.		Contact No.	

APPENDIX C - NZC CODE OF CONDUCT NOTICE OF CHARGE FORM



NOT 1

NZC Code of Conduct Notice of Charge Form

This form is to be completed and sent (together with a copy of the Rep 1 form) for each of the individuals described in section 5.7 (in the case of a Level 1 offence).

1. Notice of Charge	
This form should be treated as formal notification that the following Player/Team Official has been charged with an offence under the NZC Code of Conduct: <i>Note: For the avoidance of doubt, the Match Referee calculates the proposed sanction in the Notice of Charge provided to the Player or Team Official without having determined the guilt or innocence of the Player or Team Official.</i>	
Name:	
Team:	
Occasion (match/event etc.):	
Level of offence (Level 1):	
Specific offence: (see Appendix A of the Code of Conduct):	
Proposed sanction:	

2. Response to Charge

This form should be completed and signed by the Player/Team Official charged with the offence detailed above.

Please select one of the three following options; complete the signature box below and return to the NZC Match Referee no later than:

- I admit the offence that I have been charged with and accept the imposition of the proposed sanction (s) set out above, in which case no further action shall be taken against me and no hearing will be required.
- I admit the offence that I have been charged with but wish to dispute the proposed sanction(s) at a disciplinary hearing (to be arranged by the local or national commissioner) in accordance with NZ's Code of Conduct.
- I deny the offence that I have been charged with and wish to contest the charge at a full disciplinary hearing (the details which are set out at section 3) in accordance with the NZC Code of Conduct.

Print Name of Player/Team Official:

Signature:

Date:

Note:

- If a hearing is required, the Commissioner responsible, will contact all relevant parties to confirm the details of the hearing, in accordance with the NZC Code of Conduct.
- The Match Referee must ensure that a copy of the Notice of Charge form (NOT 1) is provided to:
 - The person reported
 - The captain and team manager of the person reported
 - The NZC GM of Cricket Operations (or their nominee)
 - The Chief Executive, Executive Director or Secretary of the relevant Major Association or District Association (or their nominee).
 - The initiator of the Code of Conduct Report